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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Scott A. Chalmers, et al..

Application No.: 10/815,555

Filed: April 1, 2004

For: **WHOLE-SUBSTRATE SPECTRAL  
IMAGING SYSTEM FOR CMP**

Art Unit: 3723

Examiner: Unassigned

**TRANSMITTAL FOR PRELIMINARY AMENDMENT**

***Mail Stop Amendment***

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Applicants submit the following documents for appropriate action by the U.S.

Patent and Trademark Office:

- ☒ Preliminary Amendment; and
- ☒ Return postcard.

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CERTIFICATE OF MAILING  
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached hereto) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to ***Mail Stop Amendment***, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1340.

November 3, 2004  
Date of Deposit

Robin L. Clow  
Name of Person Mailing Paper

*R. Clow*  
Signature of Person Mailing Paper

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency or credit any overpayment, to our Deposit Account No. **08-3038**, referencing Docket No. **02578.0008.NPUS01**.

Respectfully submitted,

Date: November 3, 2004

  
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**PRELIMINARY AMENDMENT**

***Mail Stop Amendment***

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Sir:

Prior to commencing substantive examination, kindly amend the subject application as follows:

**Amendments to the Specification** begin on p.2 of this paper.

**Amendments to the Drawings** begin on p.11. These amendments are shown in Replacement Sheets and Annotated Sheets Showing Changes, which are attached following p.13.

**Remarks** begin on p.12.

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